

TFW 9

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 780-99-014	
In Re Application Of Rohrbach et al.						
Application No. 10/765,649	Filing Date January 26, 2004	Examiner Rohrbach et al.	Customer No.	Group Art Unit 1724	Confirmation No. 2600	
Title: STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER						
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						

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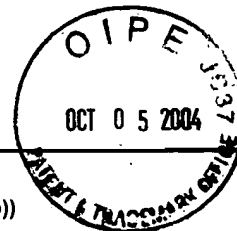
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
780-99-014

In Re Application: Rohrbach et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/765,649	January 26, 2004	Rohrbach et al.		1724	2600

Title: STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☒ Charge the amount of \$180.00
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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(Date)

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Signature

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on October 1, 2004.

\_\_\_\_\_  
(Date)

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Signature of Person Mailing Correspondence

Misty Segraves

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\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Mary E. Golota  
Signature

Dated: October 1, 2004

Mary E. Golota

Reg. No. 36,814

Telephone: 248-524-2300

Fax: 248-524-2700

CC:



October 1, 2004

**Via First Class Mail**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: United States Patent Application  
Entitled: Staged Oil Filter Incorporating Pelletized Basic  
Conditioner  
Serial No.: 10/765,649  
Filed: January 26, 2004  
Attorney Docket: 790-99-014

Sir:

We enclose herewith:

- ☒ Form 1449 with references
- ☒ Transmittal of Information Disclosure Statement
- ☒ 2 Acknowledgment Postcards

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130.

Applicant hereby petitions under 37 CFR 1.136 and other applicable rules to have the response period extended the number of months necessary to render the attached communication timely in the event a petition is required.

Respectfully submitted,

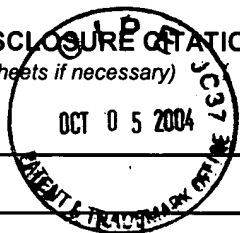
Mary E. Golota  
Reg. No. 36,814

I hereby certify that this paper is being deposited with the United States Postal Service via first class mail, postage prepaid, addressed to: Mail Stop Amendment Commissioner for Patents, Alexandria, VA 22313-1450, on October 1, 2004.

  
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Misty Segares

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

780-99-014

APPLICATION NO.

10/765,649

APPLICANT(S)

Rohrbach et al.

FILING DATE

January 26, 2004

GROUP ART UNIT

1724

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,262,526	11-11-1941	Fairlie et al.	123	196	07-15-1938
	2,310,305	02-09-1943	Miller et al.	252	10	12-31-1937
	3,336,223	08-15-1967	Kneeland et al.	252	9	06-08-1965
	4,075,097	02-21-1978	Paul	210	168	04-01-1975
	4,075,098	02-21-1978	Paul et al.	210	168	11-07-1975

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 94/11556	26.05.94	PCT	D04H	1/54	✓	
	WO 02/096534 A1	05.12.2002	PCT	B01D	27/02	✓	
	WO 01/ 85300 A2	15.11.2001	PCT	B01D	27/00	✓	
	0416905 A2	13.03.91	EP	C10M	175/00	✓	
	0416908 A2	13.03.91	EP	C10M	175/00	✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>780-99-014</b>		APPLICATION NO. <b>10/765,649</b>	
				Rohrbach et al.			
				FILING <b>January 26, 2004</b>		GROUP ART <b>1724</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,265,748	05-05-1981	Villani et al.	210	132	05-08-1980	
	4,557,829	12-10-1985	Fields	210	132	06-13-1984	
	4,660,645	04-28-1987	Newlove et al.	166	304	11-20-1984	
	4,751,901	06-21-1988	Moor	123	196 A	10-13-1987	
	4,755,289	07-05-1988	Villani	210	132	11-13-1986	

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 0 708 237 A1	24.04.1996	EP	F02M	27/06	✓	
		EP 1 061 251 A2	20.12.2000	EP	F02M	37/22	✓	
		EP 1 061 251 A3	20.06.2001	EP	F02M	37/22	✓	
		FR 2330856	05.11.1976	France	F01M	1/10		✓
		SU 1776423 A1	23.11.1992	Soviet Union	B01D	27/00		✓

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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	Rohrbach et al.	
	FILING <b>January 26, 2004</b>	GROUP ART <b>1724</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,886,599	12-12-1989	Bachmann et al.	210	287	10-23-1987
	4,906,389	03-06-1990	Brownawell et al.	252	25	11-09-1988
	5,032,259	07-16-1991	He et al.	210	133	12-19-1989
	5,042,617	08-27-1991	Brownawell et al.	184	6.24	09-07-1989
	5,069,799	12-03-1991	Brownawell et al.	210	749	09-07-1989

**U.S. PATENT APPLICATION PUBLICATIONS**

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					YES	NO

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	Rohrbach et al.	
	FILING January 26, 2004	GROUP ART 1724

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,069,970	12-03-1991	Largman et al.	428	373	12-18-1989
	5,209,842	05-11-1993	Moor	210	168	02-03-1992
	5,478,463	12-26-1995	Brownawell et al.	208	180	07-28-1994
	5,527,452	06-18-1996	Grigoriev et al.	210	130	06-29-1993
	5,552,040	09-03-1996	Baehler et al.	210	168	12-19-1994

**U.S. PATENT APPLICATION PUBLICATIONS**

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	Rohrbach et al.	
	FILING <b>January 26, 2004</b>	GROUP ART <b>1724</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,591,330	01-07-1997	Lefebvre	210	203	05-25-1994
	5,713,971	02-03-1998	Rohrbach et al.	55	233	10-24-1996
	5,718,258	02-17-1998	Lefebvre et al.	137	268	10-22-1996
	488,122	12-19-1989	McCready	252	25	11-24-1986
	5,741,433	04-21-1998	Mitchell et al.	252	74	06-21-1996

**U.S. PATENT APPLICATION PUBLICATIONS**

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>780-99-014</b>	Application Number <b>10/765,649</b>
	Applicant(s) <b>Rohrbach et al.</b>	
	Filing Date <b>January 26, 2004</b>	Group Art Unit <b>1724</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,759,394	06-02-1998	Rohrbach et al.	210	264	11-27-1996
		5,772,873	06-30-1998	Hudgens et al.	210	192	07-11-1997
		5,902,384	05-11-1999	Rohrbach et al.	96	296	10-23-1997
		5,948,248	09-07-1999	Brown	210	206	06-09-1998
		6,045,692	04-04-2000	Bilski et al.	210	198.1	04-07-1997

**U.S. PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

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	Applicant(s) <b>Rohrbach et al.</b>	
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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,126,823	10-03-2000	Soderlund et al.	210	206	05-18-1998
		US 6,238,554 B1	05-29-2001	Martin, Jr. et al.	210	109	06-16-1999
		US 6,379,564 B1	04-30-2002	Rohrbach et al.	210	765	05-08-2000
		US RE 37,369 E	09-18-2001	Hudgens et al.	210	192	06-21-1996

**U.S. PATENT APPLICATION PUBLICATIONS**

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